

Name: _____

DOB: _____

Date: _____

1. What is the financial decision you are making/have made? _____
- Giving a gift / loan** (e.g., paying bills or tuition for grandchild, purchase of home for son to live in)
 - Major purchase or sale for self** (home, car, renovations, services, invest in LTC or NH)
 - Investment Planning** (retirement, insurance, portfolio balancing)
 - Estate planning** (Will, beneficiary, DPOA, add/remove someone from bank account)
 - Turn over bill paying to someone else**
 - Scam, Fraud, Theft** (suspected)
 - Other: _____
 - Don't know or inaccurate

Notes:

2. Was this your idea or did someone suggest it or accompany you?
- My idea
 - Someone else suggested/drove me here
 - Don't know/inaccurate

Notes:

3. What is the purpose of your decision?
- Benefit self (meet a need, peace of mind)
 - Benefit family (**whom?**)
 - Benefit friends (**whom?**)
 - Benefit organization/charity (**which?**)
 - Please or satisfy someone else (**whom?**)
 - Don't know/inaccurate

Notes:

4. What is the primary financial goal? (PROBE)
- Earn money** (or retain value of investment)
 - Reduce tax burden**
 - Reduce debt**
 - Affordability** of item(s) or service(s)
 - Share my wealth after my death**
 - Allow someone else to access my money or finances / accounts** (now)
 - Gift someone or a charity** (which?)
 - Lifestyle** (no \$\$ goal; meet a need/desire)
 - Other (describe) _____
 - Don't know/inaccurate

Notes:

5. How will this decision impact you now & over time?
- Improve financial position
 - No impact
 - Negative impact/debt
 - Don't know/inaccurate

Notes:

6. How much risk to your financial well-being is involved?
- Low risk or none
 - Moderate risk
 - High risk
 - Don't know/inaccurate

Notes:

Agency Case Number:

7. How may someone else be negatively affected?

- No one will be negatively affected
- Family members (**who and why?**)
- Someone else (**who and why?**)
- Charity (**which and why?**)
- Don't know/inaccurate

Notes:

8. Who benefits most from this financial decision?

- I do
- Family
- Friend
- Caregiver
- Charity/organization
- Don't know/inaccurate

Notes:

9. Does this decision change previous planned gifts or bequests to family, friends, or organizations?

- No
- Yes (**who and why?**)
- Don't know/inaccurate

Notes:

10. To what extent did you talk with anyone regarding this decision?

- Not at all
- Mentioned it (**to whom?**)
- Discussed in depth (**with whom?**)
- Don't know/inaccurate

Notes: